

Report on the LkSG (Supply Chain Due Diligence Act)

Reporting period from 01.10.2024 to 30.09.2025

Name of the organization: Coperion GmbH

Address: Theodorstraße 10, 70469 Stuttgart

A. Strategy and anchoring.....	1
A1. Monitoring risk management & responsibility of the management.....	1
A2. Policy statement on the human rights strategy.....	3
A3. Anchoring the human rights strategy within your own organization.....	7
B. Risk analysis and preventive measures.....	10
B1. Implementation, procedure and results of the risk analysis	10
B2. Preventive measures in your own business area.....	17
B3. Preventive measures for direct suppliers	23
B4. Preventive measures for indirect suppliers	29
B5. Communication of the results.....	31
B6. Changes to the risk disposition.....	32
C. Identification of violations and remedial measures	33
C1. Identification of violations and remedial measures in own business area.....	33
C2. Identification of violations and remedial measures at direct suppliers.....	34
C3. Identification of violations and remedial measures for indirect suppliers	35
D. Complaints procedure.....	36
D1. Establishment or participation in a complaints procedure	36
D2. Requirements for the complaint procedure	39
D2. Implementation of the complaint procedure.....	41
E. Review of risk management.....	42

A. Strategy and anchoring

A1. Monitoring risk management & responsibility of the management

What responsibilities were defined for monitoring risk management during the reporting period?

At Coperion, the Human Rights Officer is responsible for monitoring risk management and other due diligence obligations under the LkSG. To ensure that the Human Rights Officer is neutral and not bound by directives, the Human Rights Officer is the President Aftermarket Sales & Service Division, Mr. Stefan Rottke, who is part of the executive management of Coperion GmbH.

The Human Rights Officer has the task of internally monitoring the implementation and execution of both risk management and other due diligence obligations in accordance with the LkSG. The Human Rights Officer informs the Executive Board periodically, but also on an ad hoc basis, about compliance with human rights, environmental and other obligations.

A. Strategy & anchoring

A1. Monitoring risk management & responsibility of the management

Has the Executive Board established a reporting process that ensures that it is regularly - at least once a year - informed about the work of the person responsible for monitoring risk management?

It is confirmed that the company's management has established a reporting process that ensures that it is informed regularly - at least once a year - about the work of the person responsible for monitoring risk management within the meaning of Section 4 (3) LkSG.

- Confirmed

Describe the process that ensures reporting to management at least once a year or regularly with regard to risk management.

The Human Rights Officer, who is also the President Aftermarket Sales & Service Division, informs the Executive Board periodically, but also on an ad hoc basis, about compliance with human rights, environmental and other obligations.

The process is supported by a team of experts, e.g. from the Global Supply Management, Sustainability, Legal and Operations departments. The team regularly informs the management of Coperion GmbH about the progress of implementing LkSG. In addition, the Management Board of Hillenbrand, the parent company of Coperion GmbH, is informed about the processes periodically.

This process was already established in fiscal year 2024 and has proven to be effective. For this reason, the process was not adjusted in the current reporting period. The team is monitoring further developments and reserves the right to adjust the process in the following reporting period if necessary.

A. Strategy & anchoring

A2. Policy statement on the human rights strategy

Is there a policy statement that has been prepared or updated on the basis of the risk analysis carried out during the reporting period?

Coperion GmbH has published an updated policy statement on its website based on the findings of the previous reporting period 2024.

Coperion Declaration of human rights in supply chains:

https://coperion.com/media/10336/20240110_bilingual-declaration-re-human-rights-in-supply-chains-final_rev4.pdf

Coperion local adoption agreement for Hillenbrand supply chain transparency policy:

https://coperion.com/media/kzzfkgyo/251104_local-adoption-agreement-for-hillenbrand-supply-chain-transparency-policy_incpolicies_final.pdf

A. Strategy & anchoring

A2. Policy statement on the human rights strategy

Has the policy statement for the reporting period been communicated?

It is confirmed that the policy statement has been communicated to employees, the works council if applicable, the public and direct suppliers where a risk was identified in the risk analysis.

- Confirmed

Please describe how the policy statement was communicated to the relevant target groups.

The policy statement was communicated to employees throughout the company by e-mail upon its first publication. It is also accessible to internal stakeholders via the company website and intranet. In a mandatory annual training, employees are additionally made aware of the policy statement and the company's human rights policy and informed about its content.

The Works Council of Coperion GmbH was informed separately about the preparation and publication of the policy statement.

For external stakeholders, such as suppliers, customers, etc., the policy statement can be accessed via the company website. When conducting the specific risk analysis and during the onboarding process, suppliers are informed about the policy statement.

A. Strategy & anchoring

A2. Policy statement on the human rights strategy

What elements does the policy statement contain?

- Establishment of a risk management system
- Annual risk analysis
- Anchoring preventive measures in the company's own business area, including direct suppliers and, if applicable, indirect suppliers and their effectiveness control
- Remedial measures in the company's own business area, including direct suppliers and, if applicable, indirect suppliers and their effectiveness control
- Provision of a complaints procedure in the company's own business area, for supplies and their effectiveness control
- Documentation and reporting obligations
- Description of the prioritized risks identified
- Description of human rights-related and environmental expectations of own employees and suppliers

A. Strategy & anchoring

A2. Policy statement on the human rights strategy

Description of possible updates during the reporting period and the reasons for them.

The policy statement was first published at the end of 2023. The policy statement and its validity was reviewed in calendar year 2025 after submission and publication of the report for the 2024 reporting period. The updated version of the policy statement contains a link to the report for fiscal year 2024 and thus a reference to the results of the previous reporting year. In addition, a new Human Rights Officer was appointed within the company during the fiscal year 2025, which was adapted in the policy statement. No further changes were necessary, as all content remains reasonable and tailored to the scope of Coperion GmbH.

A. Strategy & Anchoring

A3. Anchoring the human rights strategy within your own organization

In which relevant departments/business processes was the anchoring of the human rights strategy ensured during the reporting period?

- Personnel/HR
- Site development/management
- Environmental management
- Occupational safety & health management
- Purchasing/Procurement
- Supplier management
- CSR/Sustainability
- Legal/Compliance

Describe how responsibility for implementing the strategy is distributed within the various specialist departments/business processes.

The responsibilities for the implementation of the German Supply Chain Due Diligence Act and the respective due diligence obligations remain unchanged compared to fiscal year 2024.

Responsibility for the Human Rights Policy lies with our Hillenbrand Chief Sustainability Officer, Tory Flynn. The human rights policy describes the purpose, scope and implementation of the human rights strategy in relation to the individual human rights positions. It is regularly reviewed and adapted as necessary. It applies to the company itself, subsidiaries and direct and indirect subsidiaries as well as to consultants, sales intermediaries and representatives, suppliers and independent contractors of Coperion GmbH.

All managers of Coperion GmbH affiliates are responsible for strategic implementation and adaption of processes. In addition, key areas of the Human Rights Policy have been assigned to responsible managers and departments, in particular the Chief Human Resources Officer, the Chief Procurement Officer, the Chief Sustainability Officer, the SVP Operations Center of Excellence, the Hillenbrand Operating Model (HOM) and the Ethics and Compliance department.

The newly appointed Human Rights Officer of Coperion GmbH is supported by a team of experts from various departments to monitor the implementation of the human rights strategy for Coperion GmbH and to advise the responsible functions with the support of his team of experts.

Describe how the strategy is integrated into operational processes and procedures.

Hillenbrand and its subsidiary Coperion have a comprehensive system in place for the human

rights due diligence and risk management of suppliers, which is monitored by our global procurement team. The risk management process was reviewed for reasonableness and effectiveness after the report for fiscal year 2024 was submitted. Based on the lessons learned, optimizations and updates for the process and the risk database were derived in order to additionally cover the scope of other legislation on human rights and environmental protection in other countries. This enables a broader application of the implemented risk management process at the Hillenbrand level, beyond Coperion GmbH.

The Hillenbrand Supply Chain Transparency Policy and the Hillenbrand Supplier Standards both implement the legal requirements in our business operations and throughout our supply chain. In addition, Coperion GmbH has created a policy statement to meet additional local requirements.

Hillenbrand and its subsidiary Coperion are using business intelligence tools to monitor supply chain risks and developments within the supply chain. This enables us to meet today's trade, quality and sustainability management requirements. In addition, upon onboarding new suppliers, Coperion collects data on supplier quality and environmental system certificates, human rights due diligence and conflict minerals management. Furthermore, we have implemented preventive and remediation strategies to address potential risks within our supply chain. These preventive strategies have been developed to proactively identify potential problems and thus ensure the stability and integrity of our supply chain. Remedial actions, on the other hand, aim to mitigate any non-compliance identified during our ongoing assessment.

In fiscal year 2025, all site leads of the Coperion GmbH and its affiliates as well as all relevant departments were again closely involved in the implementation process of the LkSG. Thanks to this close cooperation, processes were made more efficient and the flow of information was significantly improved compared to the previous reporting year.

In the current reporting year 2025, further training on human rights and environmental protection was established for employees worldwide as well as a more specific training for employees of the Coperion GmbH focusing on the German Supply Chain Due Diligence Act to raise awareness of the issue. Our commitment in fiscal year 2025 to the human rights strategy remains the same and is reflected in numerous internal and public commitments, agreements and guidelines in various areas of the company. These policies include our Human Rights Policy, Global Anti-Corruption Policy, Conflict Minerals Policy, Global Environmental Policy, Health and Safety Policy, Supplier Standard and Supply Chain Transparency Policy and are further underpinned by our principles set out in our Code of Conduct.

Describe which resources & expertise are provided for implementation.

The following resources and experts were provided to ensure implementation:

The resources provided for implementation did not change in fiscal year 2025. A thorough analysis after the publishing of last year's report concluded the resources invested in the implementation of the law are sufficient. Coperion GmbH has a Human Rights Officer (HRO) who is responsible for risk management in the area of human rights - including those human rights and environmental concerns listed in the LkSG. The team of experts within EHS, HR,

Procurement, etc. supports the HRO in this area. These functions are also responsible for company-wide human rights governance. The various experts contribute their experience, expertise and resources to the implementation of the due diligence process.

The following resources and expertise are available for the implementation of the LkSG:

- Human Rights Officer
- Personnel/HR
- EHS
- Sustainability
- Compliance
- Communication
- Legal
- Global Supply Management/Procurement
- Operations

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

Was a regular (annual) risk analysis carried out during the reporting period to identify, weight and prioritize human rights and environmental risks?

- Yes, for your own business area
- Yes, for direct suppliers

Describe the period in which the annual risk analysis was carried out.

The risk analysis was carried out for the 2025 fiscal year in the reporting period from October 1st, 2024 to September 30th, 2025.

Describe the risk analysis procedure.

The fundamental approach to risk analysis has not been adjusted compared to the previous reporting period, as it has proven to be appropriate and effective. Minor changes to optimize the database and the analysis of the relevant data, as well as improvements to availability, have enabled improved data flow. The risk analysis includes a systematic investigation of risks and violations of human rights and environmental guidelines in the company's own business area and at direct suppliers.

Risk analysis for direct suppliers:

For direct suppliers, a distinction is made between an abstract and a concrete risk analysis. The evaluation scheme used at Coperion GmbH remains the same as in the previous reporting period. An initial abstract analysis of supplier risks is based on country and industry data. This is based on data from internationally recognized indices on human rights and environmental protection for each country and industry, such as the Global Slavery Index or the index of the World Justice Project, in accordance with the BAFA information on risk databases provided in 2023. The evaluation of suppliers is based on a standardized evaluation matrix to ensure comparability of the results. By weighting the risks accordingly, the potential extent and impact of the risk and the irreversibility of consequences are taken into account in the supplier's risk assessment. The allocation of supplier risks is based on the prioritization defined by the company for the individual risks. This prioritization of risks remained unchanged in the current reporting period. A renewed examination of the reasonableness and effectiveness of risk prioritization will take place after the publication of this report. Based on the results of the abstract risk analysis, a concrete risk analysis is carried out in the form of a supplier questionnaire. The supplier is asked about the corresponding identified risk areas. The supplier's answers are then evaluated by our team of experts in the Global Supply Management department and, if necessary, the appropriate preventive or remedial measures are initiated.

Risk analysis in your own business area:

In the current reporting period, the scope of risk analysis in our own business area was expanded. In addition to the subsidiaries of Coperion GmbH, intercompany suppliers were also examined. Intercompany suppliers are internal entities within the Hillenbrand Group supplying products or services to Coperion GmbH, without being direct subsidiaries. The corresponding results of the surveys and the collected information are then evaluated by a team of experts and, if necessary, the appropriate remedial measures are implemented.

Risk analysis for new suppliers:

The process of onboarding new suppliers has proven successful in the previous reporting period and has been continued. Before onboarding new suppliers at Coperion GmbH, the suppliers are evaluated based on their country of origin and industry affiliation, if available. Based on the risks identified in an abstract risk analysis, the supplier receives a questionnaire to assess specific risks. The answers are then evaluated by our team of experts in the Global Supply Management department and, if necessary, the company initiates appropriate preventive or remedial measures. In addition, regular on-site audits are carried out when evaluating a new supplier. In the event of irremediable violations and a lack of willingness to cooperate on the part of the supplier, the supplier is not approved for collaboration.

Coperion GmbH consolidates the results of the risk analysis annually and updates the corresponding database in order to identify risks and violations at an early stage. This enables the company to react quickly and initiate measures to overcome risks.

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

Were event-driven risk analyses also carried out during the reporting period?

- Yes, due to a significant change in the risk situation, for example as a result of new products/projects/opening up new markets

Describe the specific occasions.

Coperion GmbH still operates in special machine construction, which means new suppliers are added regularly to the supplier base on a project-related basis. These are first subjected to an abstract and then a concrete risk analysis before they are created in the company's ERP system.

Describe what findings the analysis has led to with regard to a significantly changed and/or expanded risk situation.

All onboarded suppliers completed the risk-based questionnaire and provided us with the required information. By answering the questionnaires, we were able to eliminate the risks identified within the initial abstract risk analysis.

Describe the extent to which findings from the processing of information/complaints have been incorporated.

During the reporting period, our company did not receive any complaints or other indications of violations of human rights and environmental protection that proved to be concrete or substantiated. Accordingly, no information or indications were included in the event-driven risk analysis. This was carried out exclusively on the basis of the abstract risk analysis and the onboarding of new suppliers.

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

Results of the risk assessment

What risks were identified in the risk analysis(es) in your own business area?

- Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control
- Disregard for occupational health and safety and work-related health hazards
- Destruction of the natural basis of life through environmental pollution
- Prohibition of forced labor and all forms of slavery
- Prohibition of child labor
- Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs
- Prohibited import/export of hazardous waste within the meaning of the Basel Convention
- Disregard for freedom of association-freedom of association & right to collective bargaining
- Unlawful violation of land rights
- Prohibition of unequal treatment in employment

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

Results of the risk assessment

What risks were identified in the risk analysis(es) for direct suppliers?

- Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control
- Disregard for occupational health and safety and work-related health hazards
- Destruction of the natural basis of life through environmental pollution
- Prohibition of forced labor and all forms of slavery
- Prohibition of child labor
- Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs
- Prohibited import/export of hazardous waste within the meaning of the Basel Convention
- Prohibited production, use and/or disposal of mercury (Minamata Convention)
- Prohibition of unequal treatment in employment

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

What risks were identified in the risk analysis(es) for indirect suppliers?

- None

B. Risk analysis and preventive measures

B1. Implementation, procedure and results of the risk analysis

Were the risks identified in the reporting period weighted and, if applicable, prioritized and, if so, on the basis of which appropriateness criteria?

- Yes, based on the expected severity of the violation in terms of degree, number of people affected and irreversibility
- Yes, on the basis of other factors: Impact on people, the environment and society

Describe in more detail how the weighting and prioritization process was carried out and what considerations were made.

In the previous reporting period, risks were evaluated and weighted according to their potential impact on individuals, the environment, and society. To facilitate this assessment, Coperion GmbH developed a tailored risk evaluation scale aligned with the principles outlined in the United Nations Convention on Human Rights. Risks are subsequently prioritized based on the severity of their impact across the aforementioned categories. Additionally, a risk matrix was employed to systematically determine the appropriate prioritization of identified risks.

The twelve risk categories have different impacts on people, the environment and society. For an effective risk model, the respective risks must be prioritized. To do this, it is necessary to evaluate the risk categories and determine a suitable ranking. Coperion GmbH uses the following five categories to assess the impact on people, the environment and society:

- Physical integrity
- Freedom and security
- Social development
- Environmental impact
- Education

Risks relating to the violation of fundamental human rights are assessed on a scale of zero to five, with zero meaning that the risk has a massive negative impact on the respective assessment categories. With a rating of five, the risk has no negative impact on the violation of fundamental human rights. The higher the overall rating, the lower the impact of the risk on people, the environment and society. A prioritization or ranking of the individual risk categories was derived on this basis.

The prioritization of the various risks remains unchanged compared to the previous reporting year. The scope of the assessment has been expanded through additional means. An evaluation of the company's current risk appetite will be conducted following the completion of this report.

B. Risk analysis and preventive measures

B2. Preventive measures in your own business area

Which risks were prioritized in your own business area during the reporting period?

- Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control
- Disregard for occupational health and safety and work-related health hazards
- Destruction of the natural basis of life through environmental pollution
- Prohibition of forced labor and all forms of slavery
- Prohibition of child labor
- Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs
- Prohibited import/export of hazardous waste within the meaning of the Basel Convention
- Disregard for freedom of association-freedom of association & right to collective bargaining
- Unlawful violation of land rights
- Prohibition of unequal treatment in employment

Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs

What specific risk is involved?

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- United States of America (USA)

Prohibited import/export of hazardous waste within the meaning of the Basel Convention

What specific risk is involved?

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- United States of America (USA)

Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- China
- India
- United States of America (USA)
- Brazil
- Saudi Arabia

Disregard for occupational health and safety and work-related health hazards**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- India
- United States of America (USA)

Destruction of the natural basis of life through environmental pollution**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- China
- India

Prohibition of forced labor and all forms of slavery**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- China
- India
- Brazil
- Saudi Arabia

Prohibition of child labor**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- Great Britain
- Saudi Arabia
- United States of America (USA)

Disregard for freedom of association-freedom of association & right to collective bargaining**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- Saudi Arabia

Unlawful violation of land rights**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- Saudi Arabia

Prohibition of unequal treatment in employment**What specific risk is involved?**

The risks identified in a previous abstract risk analysis were reviewed as part of the specific risk analysis in Coperion GmbH's own business area and were not confirmed. To this end, a close exchange took place with those responsible at the company's individual sites. If necessary, on-site audits and other instruments were available. As no specific risks were identified, it is not possible to go into any further detail in this report.

Where does the risk occur?

- India
- Saudi Arabia

B. Risk analysis and preventive measures

B2. Prevention measures in own business area

What preventive measures were implemented for the reporting period to prevent and minimize the prioritized risks in your own business area?

- Implementation of training in relevant business areas
- Implementation of risk-based control measures
- Other/additional measures: Company guidelines

Implementation of training courses in relevant business areas

Describe the measures implemented and, in particular, specify the scope (e.g. number, coverage/area of application).

All Coperion GmbH employees are obliged to take part in occupational health and safety training. In addition, the company offers comprehensive training on the topics of human rights and environmental protection, which are integrated into the Code of Conduct. These training courses are held regularly and are also accessible via the company website to ensure that all employees can access the relevant information at any time. Through these measures, Coperion GmbH promotes a deep understanding of the importance of human rights and environmental protection daily work and strengthens awareness of ethical standards within the company.

The training courses are assigned to employees according to their position and must be completed within a specified period. Employees without access to a computer or mobile device receive the relevant training in person. These face-to-face training courses are conducted by staff from the respective departments.

Additionally, Coperion GmbH and its parent company, Hillenbrand, have published an additional human rights training program based on the relevant corporate guidelines. For employees of Coperion GmbH, a specialized training has been established specifically for the German Supply Chain Due Diligence Act. This training provides employees with an introduction to human rights and environmental rights, including an overview of the current legal framework. This comprehensive approach aims to foster a deeper understanding among employees globally and, in particular, to enhance the familiarity of Coperion GmbH employees with the German Supply Chain Due Diligence Act.

Describe the extent to which the implemented trainings are effective to prevent and minimize risks.

As the company has no substantiated knowledge of the violation of human rights or environmental protection guidelines and has not been able to confirm the risks previously identified in an abstract risk analysis, the effectiveness of the training is given. This will be reviewed periodically after submission of the current report for the 2025 reporting period. Coperion GmbH is developing a suitable measurement system for this purpose.

Implementation of risk-based control measures

Describe the measures implemented and, in particular, specify the scope (e.g. number, coverage/area of application).

In addition to the company's policy statement, Coperion GmbH has conducted comprehensive risk analyses across its global sites and established a risk prioritization. The scope of each risk assessment was tailored according to the size and type of the respective site. A distinction was made between service, production, and sales sites, facilitating a more comprehensive and detailed approach to risk identification and mitigation.

Describe to what extent the measures to prevent and minimize the prioritized risks are appropriate and effective.

Coperion was not able to verify and therefore exclude the risks identified in a previous abstract risk analysis. Coperion GmbH currently has no substantiated knowledge of the violation of human rights or environmental protection guidelines, which is why the effectiveness of the control measures introduced is given. A more in-depth examination of effectiveness will be carried out after the submission of the current report for the 2025 reporting period.

Other/further measures

Describe the measures implemented and, in particular, specify the scope (e.g. number, coverage/area of application).

The Group policy on the protection of human rights and the environment adopted by the parent company Hillenbrand applies to the entire Group. This includes all subsidiaries of the company, including Coperion GmbH and its subsidiaries. The policy is freely accessible to all employees and appropriate communication about the content and importance of this policy has already been carried out. We attach great importance to compliance with these standards and the promotion of a responsible approach to human rights and environmental issues in all areas of our company.

Describe to what extent the measures to prevent and minimize the prioritized risks are appropriate and effective.

The Group policy is an effective tool for preventing human rights and environmental risks and violations. In the 2025 reporting year, the company did not identify any violations of these guidelines, which underlines the effectiveness of the policy. In order to ensure the continuous improvement and adaptation of our standards, a more in-depth examination of the effectiveness of the policy and any potentially necessary adjustments will be carried out after the submission of the current report for the 2025 reporting period.

B. Risk analysis and preventive measures

B3. Preventive measures for direct suppliers

Which risks were prioritized for direct suppliers during the reporting period?

- Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control
- Disregard for occupational health and safety and work-related health hazards
- Destruction of the natural basis of life through environmental pollution
- Prohibition of forced labor and all forms of slavery
- Ban on child labor
- Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs
- Prohibited import/export of hazardous waste within the meaning of the Basel Convention
- Prohibited production, use and/or disposal of mercury (Minamata Convention)
- Prohibition of unequal treatment in employment

Prohibition of hiring or using private/public security forces that could lead to impairments due to lack of instruction or control

What specific risk is involved?

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- India
- Bulgaria
- China
- Romania
- Turkey

Disregard for occupational health and safety and work-related health hazards

What specific risk is involved?

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close

communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- Estonia
- India
- Liechtenstein
- Poland
- Romania
- United States of America (USA)

Destruction of the natural basis of life through environmental pollution

What specific risk is involved?

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- China
- India
- Turkey

Prohibition of forced labor and all forms of slavery

What specific risk is involved??

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- China
- India
- South Korea
- Turkey

Prohibition of child labor**What specific risk is involved?**

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- Great Britain
- United States of America (USA)

Prohibited production and/or use of substances within the scope of the Stockholm Convention (POPs) and non-environmentally sound handling of waste containing POPs**What specific risk is involved?**

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- United States of America (USA)

Prohibited import/export of hazardous waste within the meaning of the Basel Convention**What specific risk is involved?**

Through the implementation of a specific risk analysis conducted via a structured

questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- United States of America (USA)

Prohibited production, use and/or disposal of mercury (Minamata Convention)

What specific risk is involved?

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- Netherlands
- United States of America (USA)

Prohibition of unequal treatment in employment

What specific risk is involved?

Through the implementation of a specific risk analysis conducted via a structured questionnaire, all previously identified and prioritized risks associated with the suppliers have been effectively mitigated and proven to not be substantiated. In addition, we maintained close communication with suppliers that exhibited risks following the company's abstract risk analysis. Through the utilization of the questionnaire, along with certifications and statements provided by the suppliers, the identified risks were successfully addressed. If necessary, on-site audits and other instruments were available. Consequently, the aforementioned risks cannot be further detailed in this report.

Where does the risk occur?

- India
- South Korea
- Netherlands

B. Risk analysis and preventive measures

B3. Preventive measures for direct suppliers

What preventive measures were implemented for the reporting period to prevent and minimize the prioritized risks at direct suppliers?

- Development and implementation of suitable procurement strategies and purchasing practices
- Integration of expectations in supplier selection
- Obtain contractual assurance for compliance and implementation of expectations along the supply chain
- Training and further education to enforce contractual assurance
- Agreement and implementation of risk-based control measures

Category: Procurement strategy & purchasing practices selected:

- Development and implementation of suitable procurement strategies and purchasing practices

Describe the measures implemented and the extent to which the definition of delivery times, purchase prices or the duration of contractual relationships have been adjusted.

Market and supply chain management operate within a highly dynamic environment that is continually evolving. As a result, the establishment of long-term contracts and the implementation of proactive supplier management strategies for goods and services deemed strategically important are critical components in mitigating business risks. The implementation of the German Supply Chain Due Diligence Act (LkSG) has further expanded the scope of risk management to encompass not only traditional business risks but also the associated risks to human rights and environmental sustainability. This approach enabled the company to conduct a broader analysis of the supply chain during the previous reporting period 2024, thereby allowing for early and effective risk mitigation. As this approach has proven to be effective, no changes were implemented in the current reporting year 2025. A review of the approach will be conducted following the publication of this report.

Coperion GmbH continues to carefully select its partners to minimize not only business risks but also sustainability risks for the company and the supply chain. With the help of the implemented risk management system, risks are regularly reviewed, providing the company with greater stability in a highly dynamic globalized world.

Describe how adjustments to your own procurement strategy and purchasing practices help to prevent and minimize the prioritized risks.

Coperion GmbH strives to minimize human rights and environmental risks when working with suppliers. The process of selecting these partners includes a thorough and comprehensive assessment to ensure that they comply with the company's ethical standards and sustainability goals. In line with this commitment, all suppliers undergo an evaluation process. This process includes the prioritization of risks identified in the abstract risk analysis and serves as the basis for the assessment of potential risks and violations

within the supply chain. New suppliers from high-risk countries and industries must also undergo a specific risk assessment, which is carried out using a structured questionnaire and/or comprehensive audits. This process was also continued during the fiscal year 2025, as it has proven to be appropriate and effective.

The Supply Chain Due Diligence Act has been integrated into the company's supplier management, strengthening its importance within the global procurement strategy. In addition to minimizing risk, Coperion GmbH requires its suppliers to ensure the human rights of employees and compliance with environmental protection regulations throughout the entire supply chain.

Other categories selected:

- Integration of expectations in supplier selection
- Obtaining contractual assurance for compliance and implementation of expectations along the supply chain
- Training to enforce contractual assurance
- Agreement and implementation of risk-based control measures

Describe to what extent the measures, to prevent and minimize the prioritized risks, are appropriate and effective.

To ensure compliance and accountability, all suppliers are expected to accept and adhere to the company's Code of Conduct and its guidelines. In addition, the company offers external suppliers online training on the Code of Conduct to obtain necessary knowledge on various human rights issues and the importance of upholding corporate values. This training is intended to promote a common understanding of ethical standards and expectations and thus strengthen the integrity of the supply chain.

In 2024, Coperion GmbH has implemented a robust risk management system that monitors the supply chain for potential risks and violations of human rights and environmental protection guidelines. This approach enables the company to identify and address problems early on. In addition, the effectiveness of measures implemented is constantly evaluated, so that necessary optimizations can be introduced based on the results.

At this point, it is important to mention that no specific violations have been identified within the supply chain for fiscal year 2025. The company has successfully reduced risks by obtaining valid certifications, guidelines and declarations that serve as evidence of suppliers' compliance with the defined standards and practices. The general effectiveness of implemented risk prevention measures within the supply chain will be reviewed periodically.

B. Risk analysis and preventive measures

B4. Preventive measures for indirect suppliers

Which risks were prioritized based on the event-driven risk analysis for indirect suppliers?

- None

If no risks have been selected, give reasons for your answer.

In the current reporting period for the 2025 reporting year, the company has not obtained any substantiated knowledge of risks or violations at indirect suppliers. For this reason, no event-driven risk analysis was carried out for these suppliers. However, the company remains vigilant and will take any necessary measures should the situation change or new information becomes available.

B. Risk analysis and preventive measures

B4. Preventive measures for indirect suppliers

What preventive measures were implemented for the reporting period to prevent and minimize the prioritized risks at direct suppliers?

- None

If no preventative measures have been selected, give reasons for your answer.

In the current reporting period for the 2025 reporting year, the company has not obtained any substantiated knowledge of risks or violations at indirect suppliers. For this reason, no measures to prevent and minimize violations and risks at indirect suppliers have been implemented. However, the company remains vigilant and will take any necessary measures should the situation change or new information become available.

B. Risk analysis and preventive measures

B5. Communication of the results

Were the results of the risk analysis(es) for the reporting period communicated internally to relevant decision-makers?

It is confirmed that the results of the risk analysis(es) for the reporting period were communicated internally to the relevant decision-makers, such as the Executive Board, the management or the purchasing department, in accordance with Section 5 (3) LkSG.

- Confirmed

B. Risk analysis and preventive measures

B6. Changes to the risk disposition

What changes have been made with regard to prioritized risks compared to the previous reporting period?

The prioritization of the individual risks examined for the current fiscal year has remained unchanged, as it continues to be deemed reasonable and effective for the company. Nonetheless, Coperion GmbH has adjusted and expanded the overall scope of the risk analysis to include more in-depth evaluations of additional suppliers and internal business processes. Through this extension, the company has examined suppliers further and was able to address specific risks associated with individual locations more precisely.

C. Identification of violations and remedial measures

C1. Identification of violations and remedial measures in own business area

Were any violations identified in your own business area during the reporting period?

- No

Describe which procedures can be used to detect violations in your own business area.

Coperion GmbH has implemented comprehensive control measures to ensure compliance with human rights and environmental protection guidelines. These measures enable the company to identify and eliminate potential risks and violations at an early stage. In 2025, we established environmental compliance calendars to further detail local site requirements and manage risk.

To this end, a survey system was introduced at the various locations to ensure continuous monitoring and evaluation of compliance with the guidelines. Furthermore, the company has actively promoted the communication of its policy and is in close contact with the respective managers at the sites to ensure effective implementation. The company also has access to audits at the respective sites as a means of prevention and remediation.

Furthermore, all employees have free access to our complaints management system, which gives them the opportunity to report any concerns or violations anonymously. This promotes a transparent and responsible corporate culture that is geared towards respecting human rights and protecting the environment.

C. Identification of violations and remedial measures

C2. Identification of violations and remedial measures at direct suppliers

Were any violations identified at direct suppliers during the reporting period?

- No

Describe which procedures can be used to detect violations at direct suppliers.

Coperion GmbH has implemented comprehensive control measures to ensure that suppliers comply with human rights and environmental protection guidelines. As part of these measures, an abstract risk analysis is first carried out based on country and industry data. This analysis makes it possible to identify potential risks and violations at an early stage.

Based on the results of this risk analysis, selected suppliers are subjected to a specific investigation. This specific risk analysis is carried out by means of a structured questionnaire that is sent to the selected suppliers. To ensure that this process is not neglected, a reminder system has been set up to remind suppliers to complete the questionnaire on time.

The completed questionnaire is then evaluated by a team of experts within Coperion GmbH. The company's internal experts carefully analyze the information received to identify potential risks and violations. If necessary, on-site audits of suppliers are available to ensure a comprehensive review of compliance with human rights and environmental protection guidelines. These measures help to further strengthen transparency and responsibility in the supply chain.

C. Identification of violations and remedial measures

C3. Identification of violations and remedial measures for indirect suppliers

Were any violations identified at indirect suppliers during the reporting period?

- No

D. Complaints procedure

D1. Establishment or participation in a complaints procedure

In what form was a complaints procedure offered in the reporting period?

- In-house complaints procedure

Describe the company's own process and/or the process in which your company participates.

Coperion GmbH has developed a comprehensive process for human rights and environmental due diligence in connection with the Supply Chain Due Diligence Act and the EU Whistleblower Directive.

Our reporting system is accessible around the clock in various languages via different channels. In selected countries, complaints or reports can be submitted by telephone via the reporting telephone numbers. Our hotlines are managed by ethics and compliance experts who specialize in the risks associated with the Supply Chain Due Diligence Act. It is also possible to submit complaints and reports confidentially via an online reporting form. Both the reporting system and the hotlines can be contacted anonymously, provided this is permitted by local law.

Once a complaint or tip-off has been received, the person making the report usually receives an acknowledgement of receipt within seven days, as well as a contact person for further communication. The reported matter is forwarded to a team of experts in our Compliance department for further examination and investigation. The team of experts conducts an initial preliminary investigation to determine whether there is sufficient evidence of an actual risk or violation. If necessary, the team of experts may request additional information from the reporting person. If the suspicions are confirmed, further investigation steps are initiated to clarify the facts. If an incident is confirmed, appropriate remedial and preventive measures are implemented. Once remedial measures have been taken, the whistleblower will generally be informed of the results within three months of confirmation of receipt (the duration of the investigation may vary from case to case). An explanation of the reasons why Coperion considers the complaint to be unfounded will not be provided if such disclosure is prohibited for legal, regulatory or practical reasons for the Compliance Department.

D. Complaints procedure

D1. Establishment or participation in a complaints procedure

Which parties have access to the complaints procedure?

- Own employees
- Communities in the vicinity of our own locations
- Employees at suppliers
- External stakeholders such as NGOs, trade unions, etc
- Other: Customers

How is access to the complaints procedure ensured for the various groups of potentially involved parties?

- Publicly accessible rules of procedure in text form
- Information on accessibility
- Information on responsibility
- Information on the process
- All information is clear and understandable
- All information is publicly accessible

D. Complaints procedure

D1. Establishment or participation in a complaints procedure

Are the description of the complaints procedure publicly available?

Yes, the description of the procedure is accessible through the link below.

Description of the procedure:

[Policies | Corporate Governance Documents & Corporate Policies](#)

D. Complaints procedure

D2. Requirements for the complaint procedure

Specify the person(s) responsible for the procedure and their role(s).

Michael Isaak, VP & Chief Compliance Officer

It is confirmed that the criteria for the people responsible contained in Section 8 (3) LkSG are met, i.e. that they offer a guarantee of impartial action, are independent and not bound by instructions, and are bound to secrecy.

- Confirmed

D. Complaints procedure

D2. Requirements for the complaint procedure

It is confirmed that arrangements were made during the reporting period to protect potential participants from disadvantage or punishment as a result of a complaint.

- Confirmed

Describe what precautions have been taken, in particular how the complaint procedure ensures the confidentiality of the identity of whistleblowers

All information related to a complaint procedure, including the identity of the person making the report, will be treated confidentially in accordance with legal requirements. Hillenbrand, including its subsidiary Coperion, does not tolerate retaliation against individuals who report concerns in good faith or against individuals who participate in an investigation.

Describe what precautions have been taken, in particular what additional measures are in place to protect whistleblowers.

In addition, the Company will always act in accordance with the Hillenbrand Code of Conduct, Anti-Retaliation Policy, Confidentiality and applicable guidelines in the investigation process. The Company will not tolerate retaliation against anyone who reports a problem in good faith or against anyone who participates in an investigation.

D. Complaints procedure

D2. Implementation of the complaint procedure

Were any reports received via the complaints procedure during the reporting period?

- No

E. Review of risk management

Is there a process in place to review the adequacy and effectiveness of risk management across the board?

In which of the following areas of risk management are adequacy and effectiveness assessed?

- Resources & Expertise
- Process of risk analysis and prioritization
- Preventive measures
- Remedial measures
- Complaints procedure
- Documentation

Describe how this assessment is carried out for the respective area and what results it has led to, particularly with regard to the prioritized risks.

With regard to human rights risks, an annual risk analysis is conducted to identify and prioritize human rights-related risks within Coperion. The results are forwarded to internal decision-makers, including the Human Rights Officer, and discussed. Risk mitigation decisions are discussed and documented as procedures. In general, Hillenbrand's Enterprise Risk Management (ERM) process takes place annually to help the company and its operating companies, including Coperion, understand long-term risks in various areas, including sustainability issues. The Audit Committee and the Executive Board review the ERM analysis with management annually, as well as the steps necessary to monitor and control these risks. In addition, the risk management system implemented to identify risks and violations of human rights and environmental protection is closely monitored by our LkSG team of experts and regularly reviewed for effectiveness. A comprehensive review of the established processes will take place in fiscal year 2026 to further optimize them and identify and eliminate potential weaknesses.

F. Review of risk management

Are there processes or measures in place to ensure that the interests of your employees, the employees within your supply chains and those who may otherwise be directly affected in a protected legal position by the economic activity of your company or by the economic activity of a company in your supply chains are adequately considered in the establishment and implementation of a risk management?

In which areas of risk management do processes or measures exist to take into account the interests of those potentially affected?

- Resources & Expertise
- Preventive measures
- Remedial measures
- Complaints procedure

Describe the processes and measures for the respective area of risk management.

The risk management system for the LkSG was implemented with the approval of the Coperion Executive Board. The complaints procedure is available to both internal and external complainants, who can remain anonymous. The appropriateness of the corrective and preventive measures as well as the complaints management is overseen by the Human Rights Officer. There is a regular exchange with suppliers and stakeholders, including Coperion management and the works council.